

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tadahiro Ohmi, *et al.*



Serial No.: 09/673,503

Art Unit: To be assigned

Filed: October 17, 2000

Examiner: To be assigned

For: DATA COMPRESSING DEVICE AND  
METHOD, DATA EXPANDING  
DEVICE AND METHOD, DATA  
COMPRESSING/EXPANDING  
SYSTEM AND METHOD, CODE  
BOOK MAKING METHOD, AND  
VECTOR QUANTIZING DEVICE AND  
METHOD

Atty Docket: 1776/00053

**RESPONSE TO "NOTIFICATION OF MISSING REQUIREMENTS"**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the **NOTIFICATION OF MISSING REQUIREMENTS OF APPLICATION** dated August 3, 2000, Applicants submit herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Copy of the Notification of Missing Requirements;
2. Petition for Two-Month Extension of Time;
3. Original executed Declaration;
4. Assignment and Form 1595;
5. Copy of complete application including:
  - a. Specification pages 1-150,
  - b. Claims pages 151-190,
  - c. Abstract page 191; and
  - d. Formal drawing Figs. 1-54 (54 pages);

6. Our authorization for the Director to charge Deposit Account No. 22-0185 for \$365.00 (Small Entity status) covering:

\$195.00 - Fee for Two-Month Extension of Time,

\$130.00 - Petition Fee, and

\$ 40.00 - Assignment recordation fee.

The Director is also hereby authorized to charge any insufficient fees, including any fees required under 37 CFR §§ 1.16 or 1.17, or credit any overpayment to Deposit Account No. 22-0185. A duplicate copy of this authorization is also attached.

Respectfully submitted,



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Date: January 9, 2001

01/11/2001 MNGUYEN 00000098 220185 09673503

01 FC:216	195.00 CH
02 FC:122	130.00 CH

1776-053



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

12 Rec'd PCT/PTO 10 JAN 2001

09/673503

U.S. APPLICATION NO. 09/673503 FIRST NAMED APPLICANT T ATTY. DOCKET NO. 1776-00053

POLLOCK VANDE SANDE & AMERNICK  
SUITE 800  
1990 M STREET NW  
WASHINGTON, DC 20036 3425



INTERNATIONAL APPLICATION NO. PCT/JP99/02049

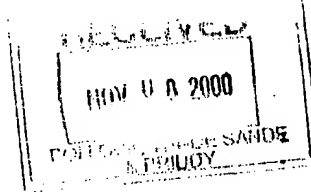
I.A. FILING DATE 19 APR 99 PRIORITY DATE 17 APR 98

DATE MAILED: 06 NOV 2000

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
- ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application in:
  - ☒ a non-English language.
  - ☐ English.
- ☒ Translation of the international application into English.
- ☐ Oath or Declaration of inventor(s) for DO/EO/US.
- ☐ Copy of Article 19 amendments.
- ☐ Translation of Article 19 amendments into English.
- ☒ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.
- ☐ Preliminary amendment(s) filed \_\_\_\_\_ and \_\_\_\_\_.
- ☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_.
- ☐ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed \_\_\_\_\_.
- ☐ Verified Statement Claiming Small Entity Status.
- ☒ Priority Document.
- ☒ Copy of the International Search Report ☒ and copies of the references cited therein.
- ☐ Other:



2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☒ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☒ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
  - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed:

- ☐ PCT/DO/EO/917
- ☒ Notice of Defective Translation
- ☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Winston M Alvarado

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

U.S. APPLICATION NO.

09/673503

ATTACHMENT TO FORM PCT/DO/EO/

### NOTICE OF DEFECTIVE TRANSLATION

The received translation is defective because:

☐ (1) The text in the drawings has not been properly translated;  
☐ (2) The number of claims in the International Application and the number of claims in the translation are not the same;

☒ (3) The translation of the International Application is incomplete as a number of pages are missing;

☒ (4) Other.

Translated pages 180 to 190 are missing.

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